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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/917,856	07/31/2001	Hirokazu Takeuti	100725-00046

CONFIRMATION NO. 9456

004372  
ARENT FOX KINTNER PLOTKIN & KAHN  
1050 CONNECTICUT AVENUE, N.W.  
SUITE 600  
WASHINGTON, DC 20036

## FORMALITIES LETTER



\*OC000000006514082\*

Date Mailed: 09/05/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$216.
  - \$216 for 12 total claims over 20.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1056.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

10/29/2001 ADDITIONAL 00000027 09917838

PART 2 - COPY TO BE RETURNED WITH RESPONSE

01 FC:101  
02 FC:103  
03 FC:105

740.00 OP  
216.00 OP  
130.00 OP



*Stetor*

## PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Hirokazu TAKEUTI et al.

Group Art Unit: Unknown

Application No.: 09/917,856

Examiner: Unknown

Filed: July 31, 2001

Attorney Dkt. No.: 100725-00046

For: PRELIMINARY MEMBER OF OPTICAL DEVICE COMPONENT WITH OPTICAL FIBER

### RESPONSE TO NOTICE TO FILE MISSING PARTS

Commissioner for Patents  
Washington, D.C. 20231  
**ATTN - BOX MISSING PARTS**

Date: October 26, 2001

Sir:

In response to the Notice to File Missing Parts of Application dated September 5, 2001 (copy attached), the following are enclosed:

- ☒ \$130.00 as payment of the surcharge for the Notice to File Missing Parts;
- ☒ \$956.00 as payment for the filing fee and additional claims fee;
- ☐ \$\_\_\_\_\_ as payment for a \_\_\_\_\_ month extension of time;
- ☒ Originally-executed Declaration/Power of Attorney;
- ☐ Originally-executed Verified Statement Claiming Small Entity Status;
- ☐ Verified Translation of the Application with a Preliminary Amendment; and
- ☒ Other.

Applicants are also enclosing the following:

☐ An Information Disclosure Statement with Form PTO-1449, \_\_\_\_\_ refs. [and a search report];

☒ A Submission of Priority Application No. 2000-231855, 2001-154139, 2001-154160 and 2001-167050 filed July 31, 2000, May 23, 2001, May 23, 2001 and June 1, 2001 (respectively) along with Japanese certified priority documents;

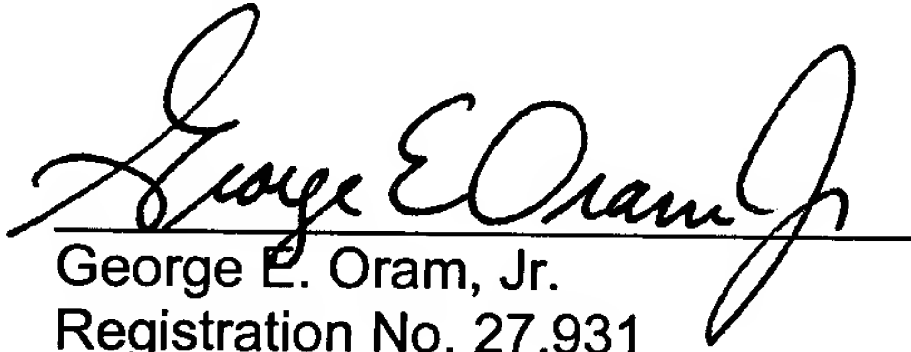
☒ Originally executed Assignment with Form PTO-1595; and

☒ \$40.00 as payment for the recordation of the Assignment.

The Examiner is respectfully requested to acknowledge that all of the requirements of 35 U.S.C. §111 have been met.

Please charge any fee deficiency or credit any overpayment to Deposit Account No. 01-2300.

Respectfully submitted,

  
George E. Oram, Jr.  
Registration No. 27,931

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09/917,856	07/31/2001	Hirokazu Takeuti	100725-00046

CONFIRMATION NO. 9456

004372  
ARENT FOX KINTNER PLOTKIN & KAHN  
1050 CONNECTICUT AVENUE, N.W.  
SUITE 600  
WASHINGTON, DC 20036



Date Mailed: 11/16/2001

## NOTICE OF INFORMAL APPLICATION

This application is considered to be informal since it does not comply with the regulations for the reason(s) indicated below. The period within to correct the informalities noted below and avoid abandonment is set in the accompanying Office action.

**A new oath or declaration, identifying this application number is required. The oath or declaration does not comply with 37 CFR 1.63 in that it:**

- does not identify the citizenship of each inventor.

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*A copy of this notice **MUST** be returned with the reply.*

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PART 1 - ATTORNEY/APPLICANT COPY